

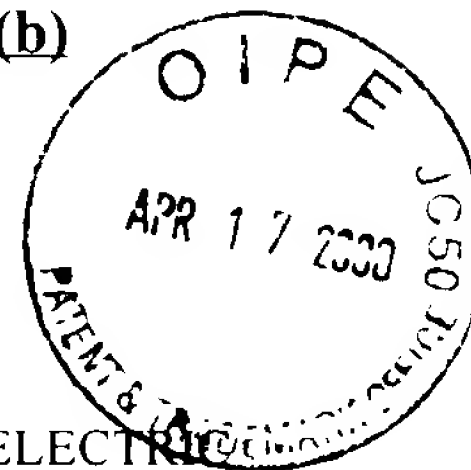
**CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

Applicant: Loi Nguyen and Ravishankar Sundaresan

Reissue Application No.:

Filed:

For:

SRAM CELL FABRICATION WITH INTERLEVEL DIELECTRIC  
PLANARIZATION

STMicroelectronics, Inc.,

a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation,  
partnership, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 6828 Frame 0050 (copy enclosed).

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignees as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_  
Frame \_\_\_\_\_ or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_  
Frame \_\_\_\_\_ or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_  
Frame \_\_\_\_\_ or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: March 27, 2000

Name: Lisa Jorgenson

Title: Director of Intellectual Property

Signature:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

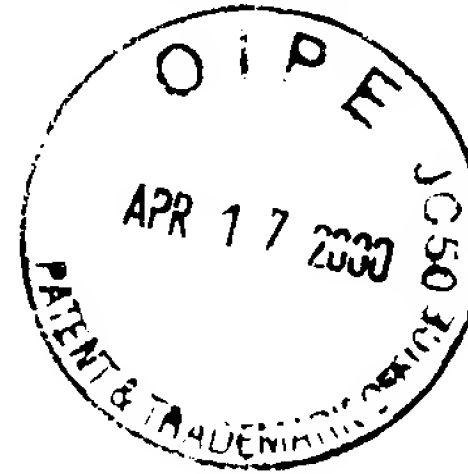
Applicant: Loi Nguyen  
Ravishankar Sundaresan

Serial No.: 09/488,686

Filing Date: January 20, 2000

Title: SRAM CELL FABRICATION WITH INTERLEVEL DIELECTRIC  
PLANARIZATION

Attorney Docket No.: 1678-20



CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited in the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Box MISSING PARTS, Washington, D.C. 20231, on this 10<sup>TH</sup> day of April, 2000.

  
Signature

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

In response to the Notice to File Missing Parts of Application dated February 9, 2000 and Reissue Supplement to Notice to File Missing Parts dated February 10, 2000,

Applicant submits:

- X 1. An executed Reissue Application Declaration by the Inventors in the above identified U. S. patent application
- X 2. An Assent of Assignee is enclosed.
- X 3. A Certificate Under 37 CFR 3.73(b).
- X 4. A copy of the Notice to File Missing Parts of Application and the Reissue Supplement to Notice to File Missing Parts.

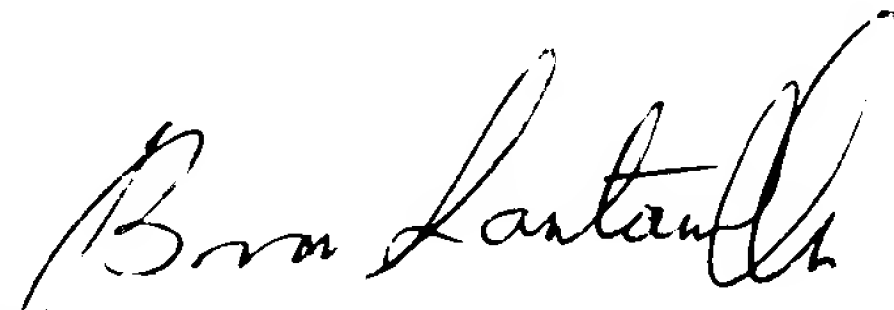
FEE COMPUTATION

Filing Fee (\$690.00/\$345.00)	\$690.00
Extra Total Claim Fees: 14 total claims over 20.	\$252.00
Extra Independent Claim Fees: 1 claim over 3	\$ 78.00
Surcharge Fee (\$130.00/\$65.00)	\$130.00
TOTAL:	\$1,150.00

- X   5. Check No. 15156 for filing fees.
6. Charge \$\_\_\_\_\_ to Deposit Account No. \_\_\_\_\_. A copy of this sheet is enclosed.
- X   7. Please charge any additional fees or credit any overpayment to Deposit Account No. 07-1897.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

  
Bryan A. Santarelli  
Attorney for Applicant  
Registration No. 37,560  
155 - 108th Avenue NE, Ste. 350  
Bellevue, WA 98004  
(425) 455-5575



BEST AVAILABLE COPY



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Application Number:

Application to Paper Number:

LISA K JORGENSEN  
MICROELECTRONICS, INC.  
1510 ELECTRONICS DRIVE  
CARROLLTON TX 75006-5037

0242/0210

**REISSUE SUPPLEMENT TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

This Reissue Supplement is an attachment to:

- ☒ "Notice to File Missing Parts of Application" Filing Date Granted (PTO-1533)  
☐ "Notice to File Missing Parts of Application" No Filing Date Granted (PTO-1532)

The item(s) indicated below as missing must be filed within the period for reply to the attached Notice to File Missing Parts of Application to avoid abandonment.

The following items are required to complete the reissue application:

- ☒ Consent of the assignee is missing. 37 CFR 1.172 requires that the reissue oath/declaration be accompanied by the written consent of all assignees. (unsigned.)  
☒ Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b). (unsigned.)

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/488,686 01/20/00 NGUYEN

L 93-E-87861-

13-E-078RE

0242/0209

LISA K JORGENSEN  
SEMICONDUCTOR ELECTRONICS INC  
1310 ELECTRONICS DRIVE  
CARROLLTON TX 75006-5039

**BEST AVAILABLE COPY**

2811

**DATE MAILED:**

02/09/00

Due 4/9/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 1150.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☒ insufficient.

Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 252 for 14 total claims over 20.

\$ 78 for 1 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

04/19/2000 YGIZAW 00000051 09488686

01 FC:101  
02 FC:102  
03 FC:103  
04 FC:105

690.00 OP  
78.00 OP  
252.00 OP  
130.00 OP

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☒ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42; 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☒ 9. OTHER: See Reissue Notice

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202